

1 **Probing the Sources of Uncertainty in Transient Warming on**
2 **Different Time-Scales**

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9 **Key Points:**

- 10 • Transient warming is most sensitive to uncertainty in the radiative forcing and not
11 to uncertainty in the radiative feedbacks.
- 12 • Reducing uncertainty in the radiative forcing is the most efficient way of reducing
13 uncertainty in transient climate response
- 14 • Radiative feedbacks of climate models that are tuned to the historical record are
15 highly sensitive to the assumed historical forcing.

Abstract

The rate of transient warming is determined by a number of factors, notably the radiative forcing from increasing CO₂ concentrations and the net radiative feedback. Uncertainty in transient warming comes from both the uncertainty in each factor and from the warming's sensitivity to uncertainty in each factor. An energy balance model is used to untangle these two components of uncertainty in transient warming, which is shown to be most sensitive to uncertainty in the forcing and not to uncertainty in radiative feedbacks. Additionally, uncertainty in the efficacy of ocean heat uptake is more important than uncertainty in the rate of ocean heat uptake. Three further implications are: (1) transient warming is highly sensitive to uncertainty in emissions; (2) caution is warranted when extrapolating future warming trends from short-lived climate perturbations; and (3) climate models tuned using the historical record are highly sensitive to assumptions made about the historical forcing.

1 Introduction

Predicting the warming of global-mean surface temperature in response to increased CO₂ concentrations is one of the central goals of climate science. A convenient and effective way of quantifying future warming is through climate sensitivity, which can be defined in several ways. The equilibrium climate sensitivity (ECS) is the equilibrated response of global-mean surface temperature to a doubling of CO₂ concentrations, and is equal to the forcing due to doubling CO₂ (F) divided by the net radiative feedback which brings the system back into equilibrium (λ):

$$ECS = F/\lambda. \tag{1}$$

The ECS is a measure of the equilibrium state of the climate system, however anthropogenic climate change is a transient perturbation. A useful metric of transient warming is the transient climate response (TCR): the response of global-mean surface temperature after 70 years of increasing CO₂ concentrations by 1% per year (i.e., after CO₂ concentrations have doubled). The TCR can be scaled for a given emission scenario, and provides an estimate of future warming on a timescale at which human action is possible to limit or mitigate further warming. Recently the closely related T140, the warming after 140 years of increasing CO₂ concentrations by 1% per year, has also been used to quantify

45 the difference in transient warming after one doubling compared to after two doublings of
 46 CO₂ concentrations (*Gregory et al.* [2015]; *Grose et al.* [2018]).

47 Large uncertainties in these measures of Earth’s climate sensitivity persist, with the
 48 IPCC AR5 report giving “likely” ranges of 2.5-4.5K for the ECS and 1.0-2.5K for the
 49 TCR [*Stocker, 2013*], limiting our ability to predict future warming. Much effort has gone
 50 into reducing these uncertainties, with little effect. We argue here that progress in nar-
 51 rowing these uncertainty ranges can be made by focusing more carefully on the sources
 52 of uncertainty in each of these metrics. Specifically, uncertainty in a given metric can be
 53 decomposed into two components: (1) the uncertainty in each factor which determines
 54 that metric, and (2) the sensitivity of the metric to uncertainty in each factor [*Hamby,*
 55 *1994*]. This second component of uncertainty has received little attention from the cli-
 56 mate sensitivity community, as the focus has been on constraining the most uncertain fac-
 57 tors. However, a factor may be highly uncertainty but contribute little to uncertainty; con-
 58 versely, identifying the factors to which future warming is most sensitive can reveal the
 59 most promising paths for narrowing the uncertainty in Earth’s climate sensitivity.

60 In the case of the ECS, equation 1 makes clear that the uncertainty is due to the
 61 relative uncertainties in F and in λ^{-1} . The small number of factors responsible for un-
 62 certainty in the ECS comes from the steady-state definition of ECS, so that there are no
 63 time-dependent factors. Uncertainties in λ^{-1} and F are linearly related to uncertainty in
 64 the ECS, and the larger relative uncertainty in λ^{-1} (Figure 1a) justifies the intense focus in
 65 the climate science community on better constraining the net radiative feedback.

66 By contrast, the uncertainty in transient warming (quantified by TCR, T140 or any
 67 other metric of transient warming) is determined by several factors, including the radiative
 68 forcing that causes the climate response, the radiative feedbacks which ultimately bring
 69 the climate system back to equilibrium and the rate at which heat is transferred from the
 70 surface ocean to the deep ocean (*Gregory* [2000]; *Dufresne and Bony* [2008]; *Held et al.*
 71 [2010]; *Geoffroy et al.* [2012]). In this study, we analyze a widely used two-box energy
 72 balance model (EBM) of Earth’s climate system to quantify the sensitivity of transient
 73 warming to uncertainty in each of these factors as a function of time-scale. Our analysis
 74 includes both theoretical considerations (section 2) and analysis of data from a set of mod-
 75 els participating in the Fifth Climate Model Intercomparison Project (CMIP5, section 3).

Both analyses demonstrate that, even after 140 years, transient warming is most sensitive to uncertainty in the radiative forcing and not, as is often assumed implicitly, to sensitivity in the radiative feedbacks. This implies that the most effective way of reducing uncertainty in transient warming is to reduce uncertainty in the radiative forcing, rather than focusing on the radiative feedbacks. In other words, reducing the relative uncertainty in F by 1% would reduce the uncertainties in the TCR and the T140 substantially more than reducing the relative uncertainty in λ by 1%.

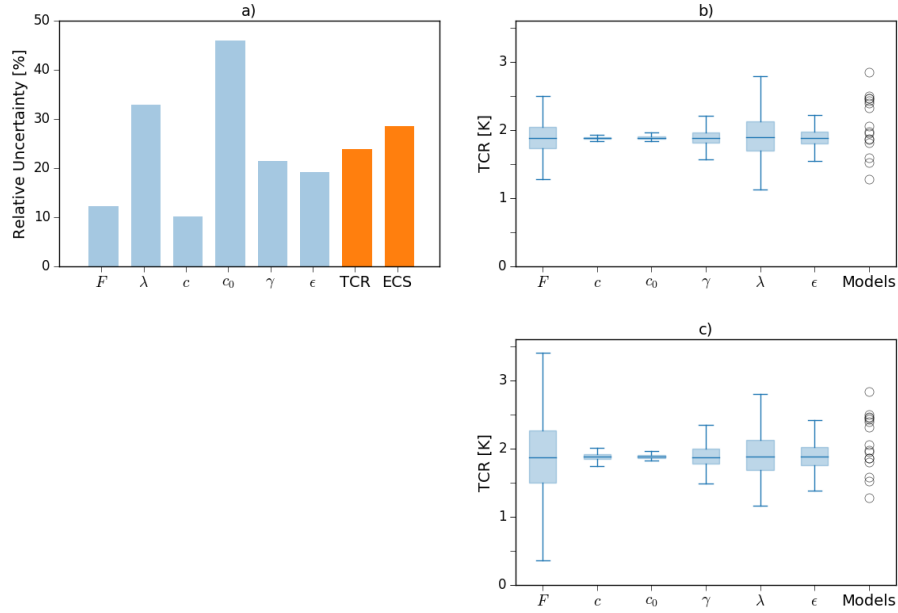
Our results have several other important implications. First, transient warming is highly sensitive to uncertainties in the carbon cycle feedbacks which determine the fraction of emitted CO₂ that is removed from the atmosphere. For this reason, uncertainty in future emissions can easily overwhelm uncertainties in the climate system's radiative feedbacks. Second, the changing contributions of the various factors to uncertainty on different time-scales suggest caution when extrapolating the climate system's response to short-term perturbations, such as volcanic eruptions, to sustained climate perturbations, such as long-term CO₂ increases. Finally, our results imply that the radiative feedbacks in models that are "tuned" by fitting to the historical record are strongly controlled by the assumed historical forcing. As increasing numbers of models include a representation of the aerosol indirect effect, which increases the spread in the assumed historical forcing, this suggests that the intermodel spread in the net radiative feedback will be substantially larger in the next generation of climate models.

2 Theoretical Analysis of a Two-Box EBM

In order to evaluate the causes of uncertainty in transient warming, we analyze a widely used EBM consisting of two boxes, one representing the combined land surface and ocean mixed-layer and the other representing the deep ocean (Gregory [2000]; Held *et al.* [2010]; Geoffroy *et al.* [2013a]; Geoffroy *et al.* [2013b]; Gregory *et al.* [2015]). This EBM can reproduce the evolution of climate models' global-mean surface temperature in simulations in which CO₂ is either instantaneously doubled or in which CO₂ is increased by 1% per year (Supplemental Figure 1), and is written as:

$$c \frac{dT_1(t)}{dt} = \Delta F(t) - \lambda T_1(t) - \epsilon \gamma (T_1(t) - T_2(t)), \quad (2)$$

$$c_0 \frac{dT_2(t)}{dt} = \gamma (T_1(t) - T_2(t)), \quad (3)$$



96 **Figure 1.** a) The relative uncertainties in the six parameters of the EBM (blue bars), based on fitting the
 97 EBM to the 18 CMIP5 models, as well as the uncertainties in the ECS and the TCR (orange bars). b) Box-
 98 and-whisker plots showing the distributions of TCR from the initial EBM integrations. The boxes show \pm one
 99 standard deviation, the horizontal lines show the mean and the whiskers denote \pm two standard deviations.
 100 The round markers show the models' TCRs. c) Same as panel b) but the EBM integrations are performed
 101 assuming the same relative uncertainty in each parameter.

111 with c the heat capacity of the surface box, T_1 the surface temperature anomaly, λ the net
 112 radiative feedback, ϵ the efficacy of ocean heat uptake, γ the rate of heat exchange be-
 113 tween the surface and deep ocean, T_2 the temperature anomaly of the deep ocean and c_0
 114 the heat capacity of the deep ocean. The efficacy term was first proposed by *Winton et al.*
 115 [2010] as a means of accounting for the fact that the sensitivity of transient warming to
 116 ocean heat uptake differs from the sensitivity to radiative forcing. Including ϵ allows the
 117 EBM to capture the time-dependence of the climate feedback and ocean heat uptake seen
 118 in climate model simulations.

119 ΔF is the radiative forcing due to increasing CO_2 concentrations at time t , which
 120 can be approximated as $\Delta F(t) = F \ln(C(t)/C_0)$ (*Myhre et al.* [1998]; *Etmann et al.* [2016]),
 121 with $C(t)$ the carbon dioxide concentration at time t and C_0 the pre-industrial atmospheric
 122 concentration of CO_2 . For a 1% per year increase in atmospheric CO_2 concentrations this

123 leads to

$$\Delta F(t) \approx \frac{Ft}{70\text{years}}. \quad (4)$$

124 The TCR is equal to T_1 after 70 years of increasing CO₂ concentrations by 1% per year
 125 and T140 is equal to T_1 after 140 years of increasing CO₂. In equilibrium the derivatives
 126 of T_1 and T_2 vanish and it can be readily verified that the ECS= F/λ .

127 Using this approximation for the forcing, the EBM can be solved for T_1 and T_2 (*Ge-*
 128 *offroy et al.* [2013a]) to give

$$T_1 = \frac{F}{70\lambda} \left[t - \tau_f a_f (1 - e^{-t/\tau_f}) - \tau_s a_s (1 - e^{-t/\tau_s}) \right], \quad (5)$$

$$T_2 = \frac{F}{70\lambda} \left[t - \phi_f \tau_f a_f (1 - e^{-t/\tau_f}) - \phi_s \tau_s a_s (1 - e^{-t/\tau_s}) \right], \quad (6)$$

129 where τ_f and τ_s are the time-scales of a fast mode of response and a slow mode of re-
 130 sponse, respectively, and a_f and a_s are the contributions of the fast and slow modes to the
 131 heat uptake temperature $T_H(t) = ECS - T_1(t)$. Expressions for the τ s and the a s are given
 132 in Supplemental Table 1.
 133

134 Apart from the linear dependence on F , the relationships between uncertainty in
 135 the other five free parameters in the EBM (λ , γ , ϵ , c and c_0) and uncertainty in transient
 136 warming are opaque in this setting. More simply, the EBM can be transformed to fre-
 137 quency space and solved for T_1 , giving:

$$\hat{T}_1 = \frac{\omega}{70} \times \frac{F}{\lambda + ic\omega + \epsilon\gamma(1 - \gamma/(ic_0\omega + \gamma))}, \quad (7)$$

138 where the overhat denotes a Fourier transform, ω is frequency and we assume that the six
 139 co-efficients are independent of frequency. ω is the inverse of the period P , so that low
 140 frequencies (small ω) correspond to long time-scales, and vice-versa. The absolute value
 141 of \hat{T}_1 is

$$|\hat{T}_1| \approx \frac{\omega F}{70} \times \sqrt{\frac{1}{\left[\lambda + \epsilon\gamma \left(1 - \frac{\gamma^2}{\gamma^2 + c_0^2 \omega^2} \right) \right]^2 + \omega^2 c^2 + \frac{2\omega c c_0 \epsilon}{\gamma^2 + c_0^2 \omega^2} + c_0^2 \omega^2 \epsilon^2 / (\gamma^2 + c_0^2 \omega^2)^2}}, \quad (8)$$

142 where a strong dependence of $|\hat{T}_1|$ on one of the six variables means that uncertainty in
 143 that variable has a large impact on the uncertainty of $|\hat{T}_1|$. For instance, the linear rela-
 144 tionship with F means that transient warming is sensitive to uncertainty in F on all time-
 145 scales.

146 Although it may appear complicated, equation 8 simplifies on different time-scales,
 147 allowing the differing contributions of λ , γ , ϵ , c and c_0 to uncertainty in transient warm-
 148 ing on these time-scales to be understood. First, we define ‘‘long’’ time-scales as $\omega \leq$

149 $\gamma/c_0 := \omega_L$, or $t > P_L = c_0/\gamma$. The time-scale P_L is the time-scale at which the deep
 150 ocean equilibrates. For time-scales much shorter than this, when $\omega \gg \omega_L$ (or $t \ll P_L$)
 151 the expression for $|\hat{T}_1|$ reduces to

$$|\hat{T}_1| \approx \frac{\omega F}{70} \times \sqrt{\frac{1}{(\lambda + \epsilon\gamma)^2 + c^2\omega^2}}. \quad (9)$$

152 At these time-scales the deep ocean has not warmed up substantially ($T_2 \approx 0$), and uncer-
 153 tainties in λ , c , ϵ and γ all make substantial contributions to the total uncertainty in $|\hat{T}_1|$.
 154 However, because λ , ϵ , γ and c are all in the denominator, their uncertainties compen-
 155 sate, such that F is generally the largest contributor to uncertainty. Even if λ were zero,
 156 for instance, the warming at these frequencies would be finite, though the ECS would be
 157 infinite. The exception is very high frequencies, when small differences in c can result in
 158 large changes in $|\hat{T}_1|$.

159 We then define a fast time-scale as $\omega_H = (\lambda + \epsilon\gamma)/c$ (or $P_H = c/(\lambda + \epsilon\gamma)$), so that
 160 the effect of the mixed-layer heat capacity is negligible for $\omega_L \ll \omega < \omega_H$. In other
 161 words, it is only at frequencies higher than ω_H that uncertainties in c have a substantial
 162 impact on uncertainty in $|\hat{T}_1|$. The period P_H corresponds to the time-scale on which the
 163 upper ocean box equilibrates in the absence of warming of the deep ocean ($\frac{dT_1}{dt} \approx 0$ and
 164 $T_2 \approx 0$). So ω_H separates the ultra-high frequency ($\omega > \omega_H$, or $t < P_H$) regime from the
 165 high frequency regime ($\omega_L \ll \omega < \omega_H$, or $P_L \gg t > P_H$).

166 As ω starts to approach ω_L , the approximation in equation 9 is no longer accurate,
 167 as there is warming of the deep ocean ($T_2 > 0$). In this intermediate frequency regime
 168 equation 8 can be approximated as

$$|\hat{T}_1| \approx \frac{\omega F}{70} \times \sqrt{\frac{1}{\left[\lambda + \epsilon\gamma \left(1 - \frac{\gamma^2}{\gamma^2 + c_0^2\omega^2}\right)\right]^2 + c_0^2\omega^2\epsilon^2/(\gamma^2 + c_0^2\omega^2)}}. \quad (10)$$

169 The $c_0\omega$ term is now key: as frequency decreases, this term gets smaller, so that $1 -$
 170 $\frac{\gamma^2}{\gamma^2 + c_0^2\omega^2}$ goes to zero, as does the last term in the denominator. Thus the contributions of ϵ
 171 and γ decrease with frequency in this regime.

172 Finally, on long time-scales ($\omega < \omega_L$, or $P > P_L$), after the deep ocean has equili-
 173 brated with the surface mixed layer ($T_1 \approx T_2$), the contributions of the ocean heat uptake
 174 terms, γ and ϵ , are negligible, and uncertainty in \hat{T}_1 is mostly determined by F and λ , as
 175 for the ECS:

$$|\hat{T}_1| \approx \frac{F}{\lambda}. \quad (11)$$

176 In summary, equation 8 can be used to separate transient warming into four regimes:
 177 the ultra-high frequency regime ($\omega > \omega_H$), the high frequency regime ($\omega_H > \omega \gg \omega_L$),
 178 the intermediate frequency regime ($\omega \sim \omega_L$) and the low frequency regime ($\omega_L > \omega$).
 179 ω_H separates the ultra-high frequency and high frequency regimes, while the transition
 180 between the high frequency and intermediate frequency regimes occurs once there has
 181 been substantial warming of the deep ocean. Using the CMIP5 ensemble-mean values
 182 (see following section and Supplemental Table 2) gives $\omega_H \sim 4 \text{ years}^{-1}$ and $\omega_L \sim 160$
 183 years^{-1} . Furthermore, defining “substantial warming” of the deep ocean as occurring
 184 when $\gamma \sim 0.1c_0\omega$ gives a time-scale of 16 years separating the high frequency and in-
 185 termediate frequency regimes.

186 Working in frequency space also makes clear the differences in the contributions of
 187 ϵ and γ . In equations 9 and 10, ϵ always damps $|\hat{T}_1|$, so that larger ϵ results in smaller
 188 $|\hat{T}_1|$ at all frequencies. However, while larger γ makes $\epsilon\gamma$ larger, damping the warming, it
 189 also makes the terms $1 - \frac{\gamma^2}{\gamma^2 + c_0^2\omega^2}$ and $c_0^2\omega^2\epsilon^2/(\gamma^2 + c_0^2\omega^2)^2$ smaller, enhancing the warm-
 190 ing. So the rate of ocean heat uptake acts as both a positive feedback and a negative feed-
 191 back on transient temperature increases, and we can expect uncertainty in ϵ , which always
 192 damps temperature increases, to contribute more to uncertainty in $|\hat{T}_1|$ than uncertainty in
 193 γ .

194 These regimes are closely related to the “single-layer”, “zero-layer” and “two-layer”
 195 regimes identified by *Gregory et al.* [2015]. In the single-layer regime there is no warming
 196 of the deep ocean, and the upper layer has not equilibrated with the forcing ($T_2 = 0$ and
 197 $dT_1/dt \neq 0$), so that equations 2 and 3 reduce to a single equation:

$$c \frac{dT_1(t)}{dt} \approx \Delta F(t) - (\lambda + \epsilon\gamma)T_1(t), \quad \text{single-layer,} \quad (12)$$

198 In the zero-layer regime the upper layer has equilibrated and the deep ocean has still not
 199 experienced warming ($T_2 = 0$ and $dT_1/dt = 0$), so that equation 12 becomes

$$0 \approx \Delta F(t) - (\lambda + \epsilon\gamma)T_1(t), \quad \text{zero-layer.} \quad (13)$$

200 These two regimes correspond to our ultra-high frequency and high frequency regimes,
 201 with the boundary between them again determined by the frequency ω_H . Finally, Gregory
 202 et al.’s two-layer regime includes warming of the deep ocean, assuming that the surface
 203 mixed-layer equilibrates much faster than the deep ocean so that $dT_1/dt = 0$:

$$0 \approx \Delta F(t) - \lambda T_1(t) - \epsilon\gamma(T_1(t) - T_2(t)), \quad \text{double-layer.} \quad (14)$$

204 Our low frequency regime is obtained at the time-scales on which the surface mixed-layer
 205 and the deep ocean have roughly equilibrated ($T_1 \approx T_2$), so that equation 14 reduces to
 206 $\Delta F = \lambda T_1$.

207 Comparing the single-layer and zero-layer cases again shows that the dependence
 208 on c drops out on intermediate time-scales. Furthermore, except for the equilibrated state,
 209 when $T_1 = T_2$, the “climate resistance” ($\lambda + \epsilon\gamma$, *Gregory and Forster* [2008]) is non-zero be-
 210 cause of heat transfer to the deep ocean, and so T_1 is less sensitive to λ than to F . How-
 211 ever these approximations do not make clear the ambiguous dependence of T_1 on γ , nor
 212 the differing contributions of γ and ϵ .

213 3 CMIP5 Data Analysis

214 To make the contributions of the different factors to uncertainty in transient warming
 215 quantitative, we have fit equations 2 and 3 to simulations with 18 climate models partici-
 216 pating in the fifth Climate Model Intercomparison Project (CMIP5), following the two-step
 217 procedure of *Geoffroy et al.* [2013a] (see Supplemental Text 1 and Supplemental Table
 218 2). The relative uncertainty in each parameter, here defined as the standard deviation of
 219 the intermodel spread divided by the ensemble-mean, is shown in Figure 1a. The largest
 220 relative uncertainty is in c_0 , followed by λ and then γ , ϵ , F and finally c . We note that
 221 correlations between the variables are generally weak, except for λ and λ , which have an
 222 r^2 value of 0.37 (Supplemental Table 3).

223 The distributions for the parameters from the fits can be used to analyze the sen-
 224 sitivity of transient warming, quantified by the TCR, to uncertainty in each parameter,
 225 allowing us to identify the main sources of uncertainty in transient warming and, more
 226 importantly, to interrogate the sensitivity of transient warming to uncertainty in each pa-
 227 rameter. To do this, we performed a number of integrations with the EBM in which CO₂
 228 concentrations are increased at 1% per year for 140 years. In each integration, the param-
 229 eters were fixed at their ensemble means, except for one parameter, x , which was set to
 230 either \bar{x} , $\bar{x} + std(x)$, $\bar{x} - std(x)$, $\bar{x} + 2std(x)$, or $\bar{x} - 2std(x)$; where the overbars denote
 231 ensemble means and $std(x)$ is the standard deviation of x across the ensemble. With six
 232 parameters for x , this made 25 integrations in total, and we thus mapped out the sensi-
 233 tivity of the TCR to uncertainty in each parameter, assuming that the uncertainty in each

234 parameter is normally distributed. In other words, we used these integrations to approxi-
 235 mate the functions $\text{TCR}'_x = f(x')$, where $'$ denotes an uncertainty and $x \in \{F, \lambda, \gamma, \epsilon, c, c_0\}$.

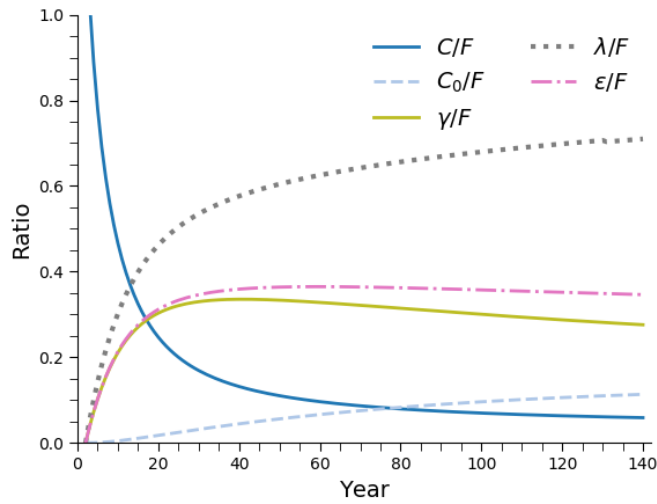
236 The results of these integrations, shown in Figure 1b, demonstrate that the net ra-
 237 diative feedback λ produces the largest range of TCR values, followed by the forcing F .
 238 Uncertainty in the rate of ocean heat uptake γ and the ocean heat uptake efficacy ϵ also
 239 contribute a substantial amount of spread, while the contributions of the heat capacities
 240 are negligible, despite the large relative uncertainty in c_0 . However, this analysis combines
 241 the two components of uncertainty – the uncertainty in each parameter and the sensitivity
 242 of T_1 to each parameter. For example, the relative uncertainty in λ is nearly three times
 243 as large as the relative uncertainty in F ($\sim 32\%$ compared to $\sim 12\%$), yet the contribution
 244 of F to the uncertainty in the TCR is almost as large as that of λ . Thus in order to inves-
 245 tigate the sensitivity of T_1 to uncertainty in each parameter, the EBM integrations were
 246 repeated assuming that all the parameters have the same relative uncertainty as λ . That is,
 247 the standard deviation of each of the other five distributions was set equal to 0.32 times
 248 the mean of the distribution, so that x' is the same for all x . This new analysis reveals
 249 that the TCR is twice as sensitive to uncertainty in F as it is to uncertainty in λ (Figure
 250 1c). The other parameters are generally similar to before.

251 So although the net radiative feedback λ is the largest source of uncertainty in the
 252 TCR, this is only because the relative uncertainty in λ is three times as large as the rel-
 253 ative uncertainty in F . Agreeing with the analysis in the previous section, the EBM in-
 254 tegrations again demonstrate that the TCR is more sensitive to uncertainty in the forc-
 255 ing than to uncertainty in the feedbacks, so that a small reduction in the uncertainty of F
 256 is equivalent to a much larger reduction in the uncertainty of λ . Put another way, if the
 257 uncertainty in F were as large as the uncertainty in λ the spread in TCR across models
 258 would be $\sim 0.5\text{-}3.5\text{K}$, instead of $1\text{-}2.5\text{K}$. We also note that the TCR's sensitivity to ϵ is
 259 larger than its sensitivity to γ , though both are smaller than the sensitivity to the feedback
 260 parameter.

261 Taking this further, Figure 2 shows the ratio of the sensitivity of T_1 to uncertainty in
 262 each of the parameters apart from F divided by the sensitivity of T_1 to uncertainty in F ,
 263 as a function of time. Ratios smaller (larger) than one indicate that the sensitivity of T_1 at
 264 time t to F is larger (smaller) than to the other considered quantity. The sensitivity to λ
 265 increases with time relative to the sensitivity to F (dotted line), but even after 140 years

266 the ratio is less than 0.8. It is only when the system has fully equilibrated – when $T_1 =$
 267 ECS – that the sensitivity to λ is the same as to F . The sensitivities to γ and ϵ decrease
 268 after about 20 years, approximately equal to the time-scale estimated in the previous sec-
 269 tion for when the deep ocean begins to warm. The sensitivity to ϵ is larger than the sensi-
 270 tivity to γ in this regime because of the opposing effects of γ on the temperature increase,
 271 a discussed in the previous section.

272 T_1 is highly sensitive to the value of c for the first ten years, when ωc is large, but
 273 after this the contribution of uncertainty in c is negligible.



274 **Figure 2.** Ratio of sensitivity of T_1 to uncertainty in C to the sensitivity of T_1 to uncertainty in F as a func-
 275 tion of time (solid blue line), ratio of sensitivity to C_0 to sensitivity to F (dashed blue line), ratio of sensitivity
 276 to γ to sensitivity to F (solid yellow line), ratio of sensitivity to λ to sensitivity to F (dotted gray line) and
 277 the ratio of sensitivity to ϵ to sensitivity to F (dot-dash pink line). These sensitivities are calculated from the
 278 EBM calculations assuming the same relative uncertainty in each parameter.

279 4 Implications

280 A first implication of this strong sensitivity of transient warming to F is that the
 281 most efficient way of narrowing the uncertainty in the TCR is developing better constraints
 282 on the raw radiative perturbation due to doubling atmospheric CO_2 concentrations (*Collins*
 283 *et al.* [2006]; *Soden et al.* [2018]), as well as on the rapid adjustments of the stratosphere

284 and the troposphere which occur once CO₂ concentrations are increased and that are in-
 285 cluded in F (Gregory and Webb [2008]; Zelinka et al. [2013]; Sherwood et al. [2015]).

286 There are at least three additional implications of the strong sensitivity of transient
 287 warming to the radiative forcing. First, it implies a strong sensitivity of transient warming
 288 to the rate at which atmospheric CO₂ concentrations increase, since $\Delta F = F \ln(C/C_0)$.
 289 The time-evolution of CO₂ concentrations is determined by a combination of the rate at
 290 which carbon is emitted to the atmosphere and the carbon-cycle processes which control
 291 how efficiently carbon is removed from the atmosphere:

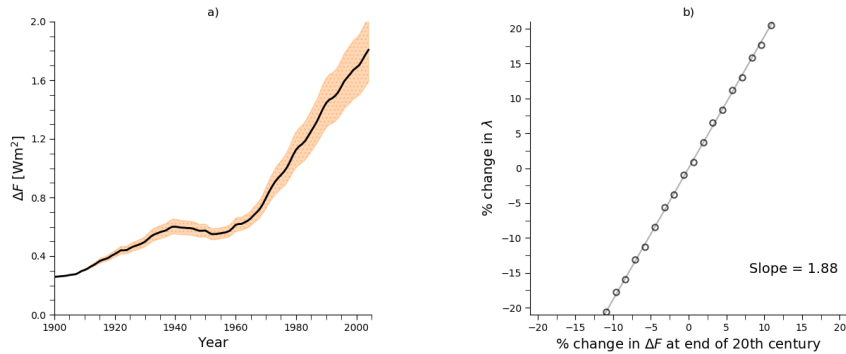
$$C(t) = \alpha(t) \times E(t), \quad (15)$$

292 where E is the emission of carbon to the atmosphere in a given year and α is the frac-
 293 tion of the emission which stays in the atmosphere. Hence even if the radiative forcing of
 294 doubling CO₂ concentrations were perfectly known, uncertainties in the emission scenario
 295 and/or in the carbon-cycle feedbacks could overwhelm uncertainties in λ when making
 296 predictions of T_1 . Moreover, uncertainty in future aerosol forcing and in the forcings due
 297 to other greenhouse gases also contribute to uncertainty in the future radiative forcing.
 298 We note, however, that recent studies with earth system models suggest that the transient
 299 climate response to cumulative carbon emissions (TCRE = T_1/E) is more sensitive to un-
 300 certainties in physical climate properties (F , λ , etc.) than to uncertainties in carbon cycle
 301 processes, implying that the uncertainty in α across models is small (Gillett et al. [2013];
 302 Williams et al. [2017]).

303 Second, the time-scale dependence of the climate system's warming, or cooling, sug-
 304 gests caution when extrapolating from short-lived climate perturbations, such as volcanic
 305 eruptions, to long-term perturbations. The response to the former is mostly determined
 306 by the upper ocean heat capacity and the forcing, so that a climate model's skill in fit-
 307 ting such a perturbation is primarily due to its estimates of c and F (and we note the ad-
 308 ditional complication of forcings having different efficacies [Marvel et al., 2015]). Thus
 309 estimates of λ or of either of the ocean heat uptake parameters from a short-lived pertur-
 310 bation will reflect the estimates of c and F , and are unlikely to be representative of the
 311 climate system's response to long-term perturbations.

312 Finally, our results imply that uncertainties in the forcing can strongly affect attempts
 313 to tune climate models by fitting to the historical temperature record [Voosen, 2016]. By
 314 "tuning" we mean both cases in which model parameters are explicitly tweaked to better

315 fit the 20th century temperature record and cases in which a particular model is deemed
 316 to be of low quality because it does not fit the record well. We illustrate this through
 317 simulations of the 20th century with the two-box model, forcing it with an estimate of
 318 the total radiative forcing over the 20th century, ΔF (see Supplementary Text). We then
 319 vary the forcing by up to ± 1 standard deviation of the CO₂ forcing F . That is, we set
 320 $\Delta F' = \Delta F + \beta \text{std}(F)$, where β is varied from -1 to 1 in increments of 0.1 (see Supple-
 321 mentary Text S2 and Figure 3a). For each forcing assumption, we set c , c_0 , γ and ϵ to
 322 their ensemble-mean values and perform simulations in which λ is varied in increments of
 323 $0.01 \text{Wm}^{-2}\text{K}^{-1}$, searching for the value that gives the best fit to the 20th century tempera-
 324 ture record for the forcing estimate. Figure 3b shows how the optimal value of λ varies as
 325 a function of ΔF at the end of the 20th century in these simulations (circles), with a lin-
 326 ear least-squares regression indicating that a 1% change in the estimate of the net forcing
 327 resulting in a 1.88% change in the optimal value of λ .



328 **Figure 3.** a) Net historical radiative forcing ΔF for the period 1900 to 2005 (black line) and estimates of
 329 ΔF with the CO₂ forcing varied by up to \pm one standard deviation from the ensemble-mean for each species
 330 (orange shading). b) % change in the optimal value of λ as a function of the % change in ΔF (circles). The
 331 solid line shows a linear-least squares fit, with the slope indicated in the lower right of the panel. Note that the
 332 linearity does not hold for larger fractional changes in ΔF .

333 These calculations ignore, among other things, the different forcing efficacies of
 334 greenhouse gases (*Hansen et al.* [2005]; *Kummer and Dessler* [2014]; *Marvel et al.* [2015]),
 335 the question of the historical aerosol forcing [*Stevens*, 2015] and internal variability (*Sil-*
 336 *vers et al.* [2018]; *Andrews et al.* [2018]), but demonstrate the strong sensitivity of radiative
 337 feedbacks in models that are tuned by fitting to the historical temperature record to as-
 338 sumptions made about the forcing over the 20th century. If the same model were tuned

339 twice using historical forcing estimates that differed by 20%, the resulting values of λ
340 would differ by 38%.

341 **5 Conclusion**

342 Using a combination of theory and analysis of data from the CMIP5 archive, we
343 have shown here that transient warming, typically represented by the TCR or T140, is
344 most sensitive to uncertainty in F , the radiative forcing from doubling CO₂ concentrations,
345 followed by uncertainty in the radiative feedbacks λ . This contrasts with the equilibrated
346 warming (ECS), which is equally sensitive to uncertainty in F and in λ^{-1} . This differ-
347 ence reflects the role of ocean heat uptake in transient warming, as even if λ were zero
348 the TCR would still be finite because of heat transfer to the deep ocean, whereas the ECS
349 would be undefined. Our analysis has also shown that transient warming is more sensitive
350 to the efficacy of ocean heat uptake (ϵ) than the rate of ocean heat uptake (γ), though the
351 contributions of both of these to uncertainty in transient warming decreases after about 20
352 years.

353 These results suggest that more emphasis should be placed on constraining the un-
354 certainty in F , as well as on constraining the historical forcing, as small changes in the as-
355 sumed historical forcing can have large impacts on the radiative feedbacks in climate mod-
356 els that are tuned using historical data. Furthermore, the sensitivity to F can also be taken
357 to be a sensitivity to the carbon cycle feedbacks which convert CO₂ emissions to atmo-
358 spheric CO₂ concentrations. Even if the radiative forcing of doubling CO₂ concentrations
359 were perfectly known, uncertainties in the emission scenario and/or in the carbon-cycle
360 feedbacks could overwhelm uncertainties in λ .

361 As has been recently noted, uncertainty in F could be substantially reduced if the
362 number of radiative transfer parameterizations used in climate models was reduced, so
363 that only parameterizations that have been thoroughly vetted against line-by-line calcula-
364 tions were implemented in climate models [Soden *et al.*, 2018]. Our results emphasize the
365 urgency of this consolidation, as well as the importance of better constraining the rapid
366 adjustments which take place as soon as CO₂ concentrations are increased (particularly in
367 the stratosphere [Chung and Soden, 2015]), better constraining the carbon-cycle feedbacks
368 which determine how efficiently carbon is removed from the atmosphere, and better con-

369 straining the historical forcing, for which much of the uncertainty comes from uncertainty
370 in the radiative effects of aerosols in the late 19th and early 20th centuries [Stevens, 2015].

371 Climate models are increasingly including representations of the aerosol indirect
372 effect, which can make their estimates of the historical aerosol forcing larger (i.e., more
373 negative; see e.g., Carslaw *et al.* [2013]; Nazarenko *et al.* [2017]), and thus an increase in
374 the spread in the modelled historical aerosol forcing across the next generation of CMIP
375 models can be expected. Our analysis suggests an approximate 2:1 relationship between
376 uncertainty in climate models' net radiative feedback and uncertainty in the historical forc-
377 ing, implying that the increased spread in models' estimate of the historical aerosol forc-
378 ing will substantially increase the model spread in radiative feedbacks.

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